

THE UNITED STATES PATENT AND TRADEMARK OFFICE
under 35 USC 371 as DO/EO/US

IN RE APPLICATION OF:)
Rodrigues et al.)
Serial No. 10/581,774 (PCT/IB04/04350))
Title: SMOKING ARTICLE)
Filed: To be determined)
International Filing Date: December 10, 2004)
Priority Date: December 11, 2003)

COMMISSIONER FOR PATENTS
Mail Stop PCT, ATTN: DO/EO/US
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC §371

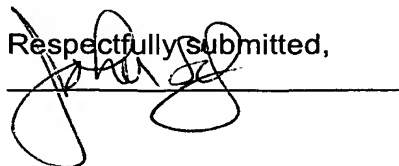
Dear Sirs:

Responsive to the Notification of Missing Requirements under 35 USC §371
in the United States Designated/Elected Office (DO/EO/US), having a mailing date
of November 17, 2006, Applicant's Attorney is submitting the required
documentation to comply with 35 USC §371.

Enclosed are the following documents:

1. Copy of Missing Requirements under 35 USC § 371 form;
2. Copy of Declaration;
3. Copy of Supplemental Application Data Sheet;
4. Copy of Decision of Petition;
5. Copy of Previously filed notice of death of inventor;
6. Oath/Declaration late filing fee;
7. Extension of Time Form;
8. Credit Card Payment Form; and,
9. Return Postcard.

Respectfully submitted,

A handwritten signature in black ink, appearing to be "J. H. Smith", is written over a horizontal line.

Date: March 14, 2007

John F. Salazar, Reg. No. 53,923
MIDDLETON REUTLINGER
2500 Brown & Williamson Tower
Louisville, KY 40202
(502) 625-2756 ph
jsalazar@middreut.com

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

SC-3

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/581,774INTERNATIONAL APPLICATION NO.
PCT/IB04/04350INTERNATIONAL FILING DATE
(10.12.2004) 10 DECEMBER 2004PRIORITY DATE CLAIMED
(11.12.2003) 11 DECEMBER 2003TITLE OF INVENTION
SMOKING ARTICLE**IAP6 Rec'd PCT/PTO 14 MAR 2007**APPLICANT(S) FOR DO/EO/US
DA SILVA RODRIGUES; Antonio Augusto; MIRANDA; Eduardo Jose Faria

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
10/581,774INTERNATIONAL APPLICATION NO.
PCT/IB04/04350ATTORNEY'S DOCKET NUMBER
SC-3

20. Other items or information: COPY OF NOTICE OF MISSING PARTS; COPY OF DECISION OF PETITION;
COPY OF PREVIOUSLY FILED NOTICE OF DEATH OF INVENTOR; RETURN POSTCARD

The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21.	<input type="checkbox"/>	Basic national fee (37 CFR 1.492(a)).....	\$300	\$	
22.	<input type="checkbox"/>	Examination fee (37 CFR 1.492(c))		\$	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....				\$	
All other situations.....				\$200	
23.	<input type="checkbox"/>	Search fee (37 CFR 1.492(b))		\$	
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....				\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....				\$100	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....				\$400	
All other situations.....				\$500	
TOTAL OF 21, 22 and 23 =					
<input type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) in an electronic medium or computer program listing in an electronic medium) (37 CFR 1.492(j)).				
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 130.00	
03/16/2007 LLANDGRA 00000026 10581774				Amount to be refunded:	\$
01 FC:1617 130.00 OP				Amount to be charged	\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

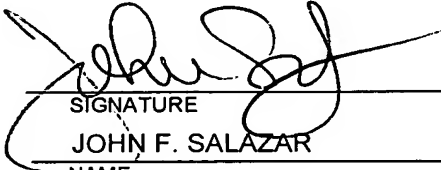
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO.

ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is not recommended and by doing so your credit card information may be displayed via PAIR. To protect your information, it is recommended paying fees online by using the electronic payment method.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

CHARLES I. SHERMAN
MIDDLETON REUTLINGER
2500 BROWN & WILLIAMSON TOWER
LOUISVILLE, KENTUCKY 40202 USA


SIGNATURE
JOHN F. SALAZAR
NAME
39,353
REGISTRATION NUMBER

RULE 1.10 CERTIFICATE OF EXPRESS MAILING

I hereby certify that the following documents:

Transmittal Form for DO/EO/US
Copy of Declaration
Supplemental Application Data Sheet
Copy of Decision of Petition
Copy of Missing Parts Notice
Copy of Notice of Death of Inventor
Transmittal Letter
Extension of Time

Return Postcard

are being deposited with the U.S. Postal Service with sufficient postage paid as Express Mail "Post Office to Addressee" in an envelope addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EV82706026545

Dated: 14 March 2007

Printed name of person signing certificate:

Lynn N. Minton

Signature:

A handwritten signature in black ink, appearing to read "Lynn N. Minton", written over a horizontal line.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/581,774	Da Silva Antonio Augusto Rodrigues	SC3

INTERNATIONAL APPLICATION NO.
PCT/IB04/04350

LA. FILING DATE	PRIORITY DATE
12/10/2004	12/11/2003

22884
MIDDLETON & REUTLINGER
2500 BROWN & WILLIAMSON TOWER
LOUISVILLE, KY 40202

CONFIRMATION NO. 8554

371 FORMALITIES LETTER



OC000000021267799

Date Mailed: 11/17/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/06/2006
- Copy of the International Search Report filed on 06/06/2006
- Preliminary Amendments filed on 06/06/2006
- Oath or Declaration filed on 06/06/2006
- U.S. Basic National Fees filed on 06/06/2006
- Priority Documents filed on 06/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - per decision
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/581,774	PCT/IB04/04350	SC3